

**Please type a plus sign [+] inside this box →**

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<b>DECLARATION FOR UTILITY OR DESIGN</b> <b>PATENT APPLICATION (37 CFR 1.63)</b> <input checked="checked" type="checkbox"/> Declaration Submitted with initial Filing      or <input type="checkbox"/> Declaration Submitted after initial Filing (surcharge required) (37 CFR 1.16 (e))		<b>Attorney Docket No.</b> IN-5647 <b>First Named Inventor</b> Walter H. OHRBOM <b>COMPLETE IF KNOWN</b> <b>Application Number</b> <b>Filing Date</b> Thursday, July 31, 2003 <b>Group Art Unit</b> <b>Examiner Name</b>
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As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **GAMMA HYDROXY**

## CARBAMATE COMPOUNDS AND METHOD OF MAKING AND USING THE SAME

(Title of the Invention)

The specification of which:

☒ is attached hereto

☐ Was filed on \_\_\_\_\_ as United States Application Serial Number \_\_\_\_\_  
was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application

I hereby claim foreign priority benefits under 35, U.S.C. § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365 (a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date DATE/MONTH/YEAR	Priority Not Claimed	Certified Copy Attached? Yes                      No	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application number are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C 119(e) of any United States provisional application(s) listed below:

APPLICATION NUMBER(S)	FILING DATE	
		<div style="border: 1px solid black; width: 50px; height: 20px; display: inline-block;"></div> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. §120

U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENT	PENDING	ABANDONED
09/998,365	11/29/2001		X	
09/763,855	2/27/2001		X	
10/285,634	10/31/2002		X	
10/285,600	10/31/2002		X	
10/285,594	10/31/2002		X	
10/325,328	12/20/2002		X	
10/325,301	12/20/2002		X	

IN-5647

PCT APPLICATION DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

C unty	United States of America	Telephone	(248) 948-2021	Fax	(248) 948-2093
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DECLARATION —	Utility or Design Patent Application
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**POWER OF ATTORNEY**

☒ I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

<input checked="" type="checkbox"/> Customer Number	<b>26922</b>	or	<input type="checkbox"/> Correspondence address below
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])	<b>Walter H.</b>		Family Name or Surname	<b>OHRBOM</b>	
Inventor's Signature			Date	<b>7-31-03</b>	
Residence: City	<b>Hartland Township</b>	State	<b>Michigan</b>	Country	<b>United State of America</b>
Mailing Address	<b>13938 Plover</b>				
City	<b>Hartland Township</b>	State	<b>Michigan</b>	Zip	<b>48353</b>

**PATENT**

(Practitioner's Docket No. IN-5647)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Walter H. OHRBOM

US Serial No. Herewith

Filed: Thursday, July 31, 2003

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: GAMMA HYDROXY CARBAMATE COMPOUNDS AND METHOD OF MAKING AND  
USING THE SAME

Patent\*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

\*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents

Washington, D.C. 20.231

**STATEMENT UNDER 37 C.F.R. § 3.73(b)-  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner  
for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

**37 C.F.R. § 1.8(a)**

**37 C.F.R. § 1.10\***

☐ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"  
Mailing Label No: **ER 083 670 139US** (mandatory)

**TRANSMISSION**

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: July 31, 2003

Signature

Marjorie Ellis

(type or print name of person certifying)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

### IDENTIFICATION OF ASSIGNEE

BASF CORPORATION

2. \_\_\_\_\_  
Name of assignee  
CORPORATION  
\_\_\_\_\_  
Type of assignee, e.g., corporation, partnership, university, government agency, etc.

### PERSON(s) AUTHORIZED TO SIGN

3. Michael F. Morgan, 42,906  
(type name of person authorized to sign on behalf of assignee)  
Attorney  
Title of person authorized to sign  
3a. Anne Gerry Sabourin, 33,772  
(type name of person authorized to sign on behalf of assignee)  
Assistant General Counsel  
Title of person authorized to sign

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

### BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

- A.
- ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_.
  - ☒ An assignment (document) separately being submitted for recordal herewith.

### AND/OR

- B.
- ☐ A chain of title from the inventor(s) to the current assignee as shown below:  
1. From: \_\_\_\_\_  
Name of inventor(s)  
To: \_\_\_\_\_

Recorded in PTO:  
Reel \_\_\_\_\_ Frame \_\_\_\_\_

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

**COPIES OF DOCUMENTS IN CHAIN OF TITLE**

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No: 33,772

  
SIGNATURE OF ATTORNEY

**Anne Gerry Sabourin**  
Type or print name of attorney

Tel. No.: ( 248 ) 948-2021

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**26701 Telegraph Road**  
P.O. Address

**Southfield, Michigan 48034-2442**

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